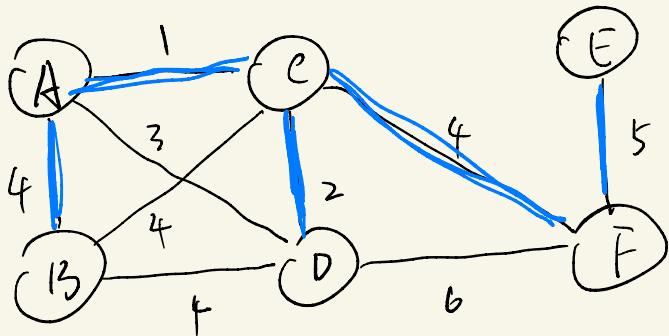


Lee 6

Minimum Spanning Tree



Connect the Graph with minimum cost.

Prop. Must be a tree

Input: Undirected Graph $G = (V, E)$, edge weight w_e

Output: Tree $T = (V, E')$,

$$E' \subseteq E \text{ minimizes } \text{wt}(T) = \sum_{e \in E'} w(e).$$

Kruskal : Greedy strategy .

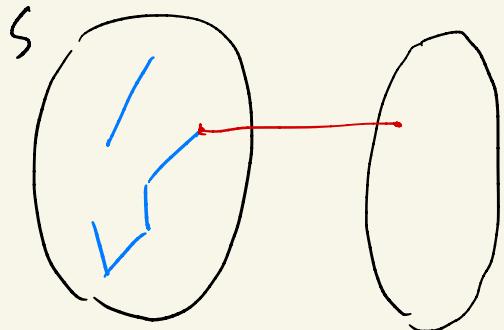
" Pick the lightest edge that doesn't produce a cycle "

Proof of correctness

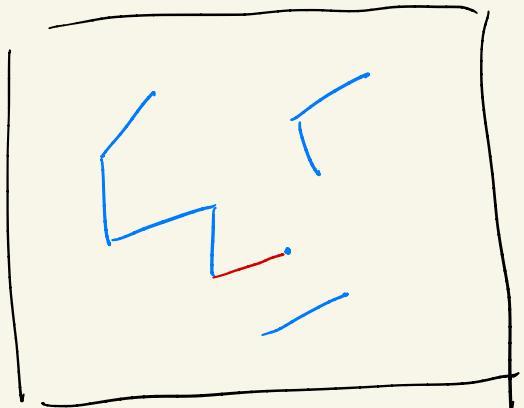
prop. X part of MST of $G(V, E)$. $S \subseteq V$.

$$E(S, V-S) \cap X = \emptyset. \quad e = \arg \min_{e' \in E(S, V-S)} w(e')$$

$\Rightarrow e$ is in a MST.



Correctness of Kruskal.



Directly apply the cut property.

Implementation

Kruskal ($G, \{w_e\}_{e \in E(G)}$)

for $u \in V$: makeset (u)

$X = \emptyset$.

sort edges in E by $\{w_e\}$.

for $e = \{u, v\} \in E$ in increasing order of $\{w_e\}$

if find(u) ≠ find(v)

check whether u, v are in
the same connected
component.

add e to X .

union (u, v)

The operations of datastructure

makeset (x). initialize a singleton set $\{x\}$.

find (x) Return the representative element of the set containing x .

union (x, y) merge the set containing x and y .

Union - Find Set 并查集.

" Each set is a tree. representative is the root".

makeset (x)

$$\pi(x) = x.$$

$$\text{rank}(x) = 0.$$

find (x)

$$\text{while } X \neq \pi(x)$$

$$X = \pi(X)$$

return X

Union (x, y)

$$r_x = \text{find}(x)$$

$$r_y = \text{find}(y).$$

if $r_x = r_y$: return

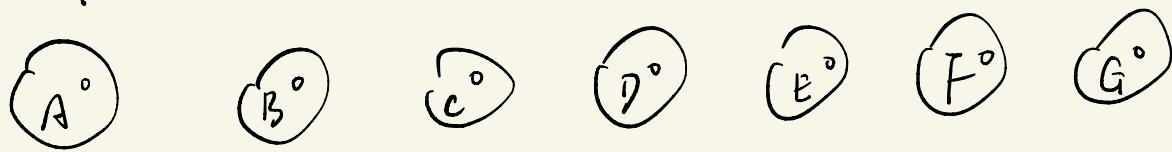
if $\text{rank}(r_x) > \text{rank}(r_y)$

$$\pi(r_y) = r_x.$$

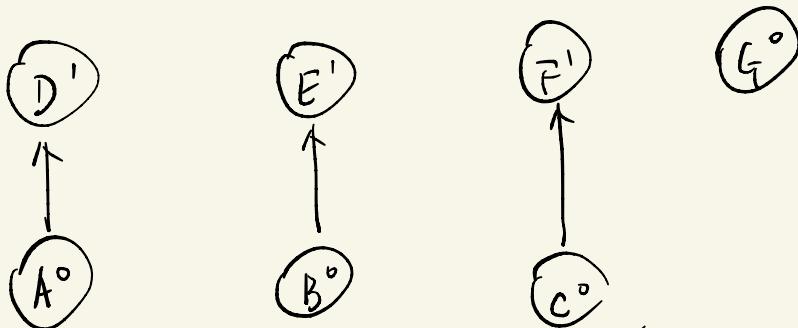
else $\pi(r_x) = r_y.$

if $\text{rank}(r_x) = \text{rank}(r_y)$: $\text{rank}(r_y)++$;

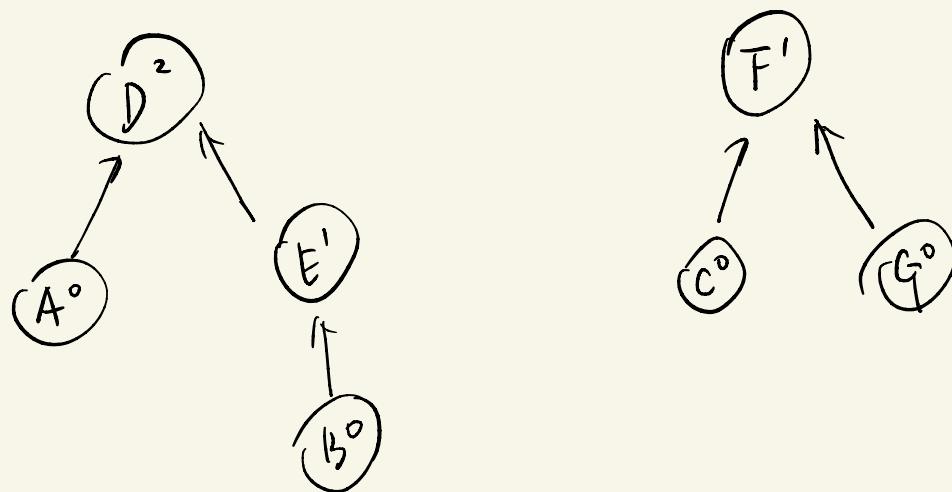
Example



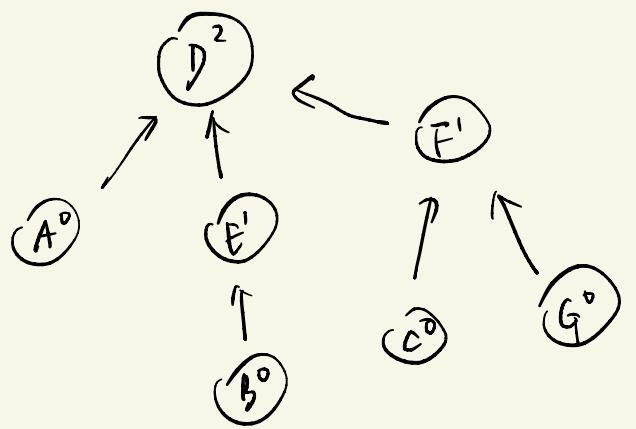
union (A, D). union (B, E). union (C, F).



union (C, G). union (E, A)



union (B, G).



Properties of the Union-Find Set.

P1). Root node of rank k has at least 2^k descendants

Proof by induction

P2). $\text{rank}(\pi(x)) > \text{rank}(x)$. $\forall x$.

P3). The number of nodes of rank $k \leq n/2^k$.

Cor. Union, Find take time $O(n \log n)$.

Complexity of Kruskal

Sort : $O(|E| \log |V|)$

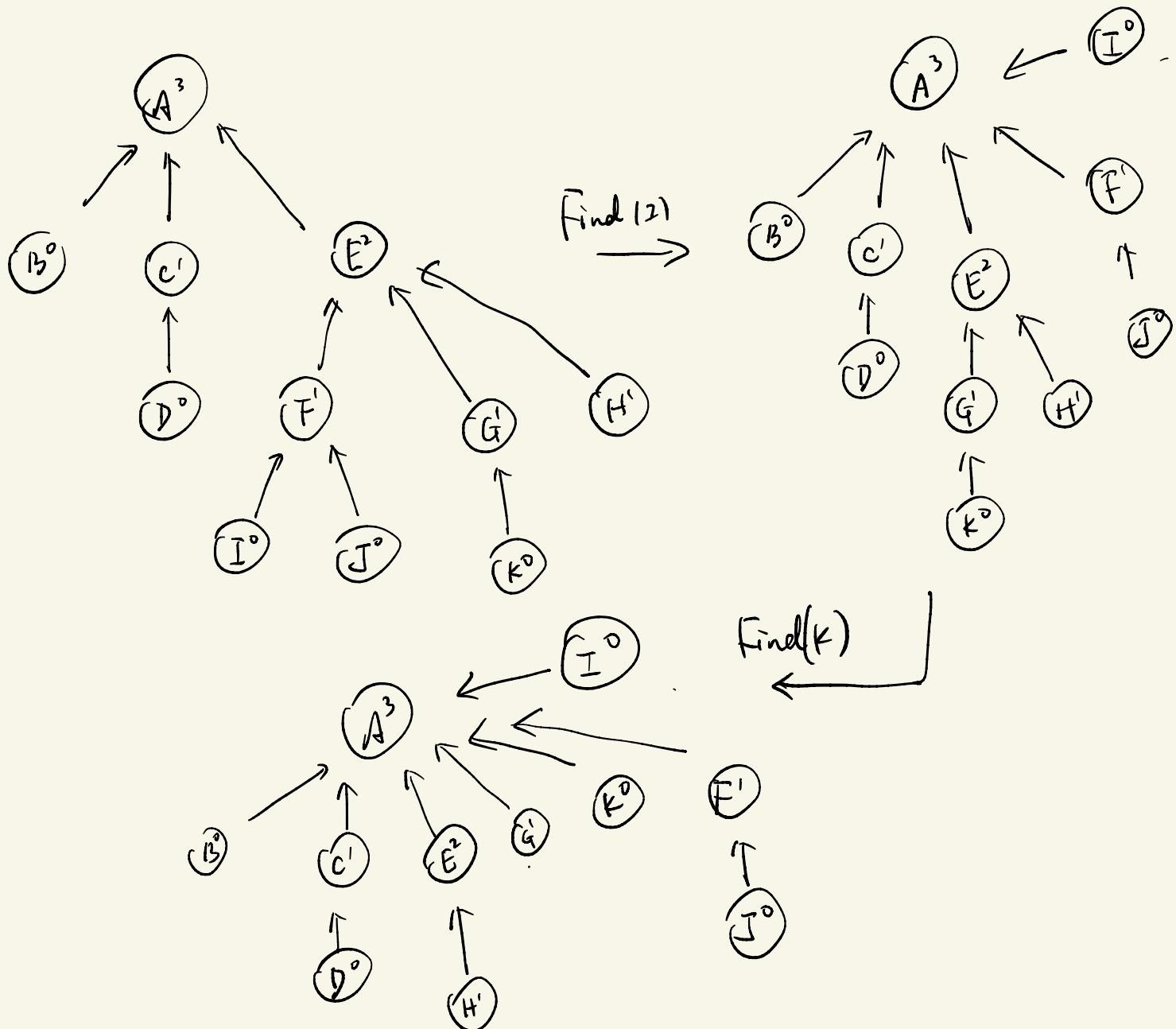
Union & find : $O(|E| \log |V|)$

Path Compression

find(x)

if $x \neq \pi(x)$: $\pi(x) = \text{find}(\pi(x))$.

return $\pi(x)$



Analysis of Union-Find Set with Path Compression

[R. Tarjan 1975]

m operations $\Theta(m \alpha(n))$.

$\alpha(n)$: inverse Ackermann function \rightarrow very slowly growing function.

$\alpha(n) : \text{inverse Ackermann function} \quad \text{e.g. } \alpha(9876!) = 5$

[J. Hopcroft, J. Ullman 1973].

m operations $\mathcal{O}(m \log^* n)$.

$\#$ of times of take \log until ≤ 1 .

$$\log^*(2^2) = \log(2^{65536}) = 5.$$

Proof. * Group numbers.

$$\{1\}, \{2\}, \{3, 4\}, \{5, 6, \dots, 16\}, \{17, 18, \dots, 2^{16} = 65536\},$$

$$\{k+1, k+2, \dots, 2^k\} \quad \{65537, \dots, 2^{65536}\}.$$

of Groups is $\log^* n$.

Charging argument

- * We give each node some amount of money.
- * The nodes use these money to "pay for the operation time".

Each node receives its money when it's no longer a root.

The amount of the money u received is 2^k
if $\text{rank}(u) \in \{k+1, \dots, 2^k\}$.

- * Only need to pay once for each node.
- * $|P_3| \Rightarrow$ # of nodes with rank $> k$

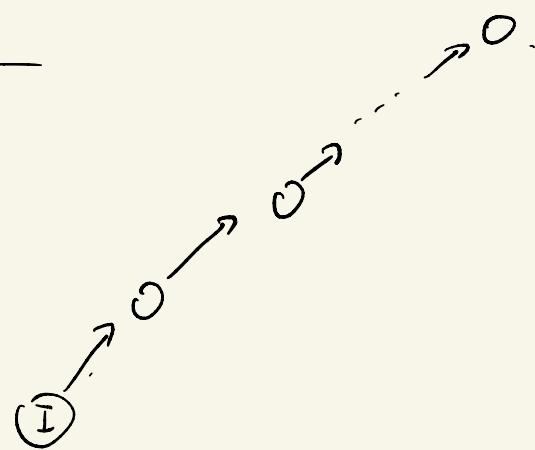
$$\leq \frac{n}{2^{k+1}} + \frac{n}{2^{k+2}} + \dots \leq \frac{n}{2^k}.$$

The money paid for this interval is $\leq n$.

The total pay is $\leq n \log^* n$.

Cost of find(x)

Find(I) :



Three types of node visited :

- ① $\text{parent}(z)$ is the root \Rightarrow cost 1.
- ② $\text{rank}(\text{parent}(z))$ in a higher group : $\leq \log^* n$
- ③ $\text{rank}(\text{parent}(z))$ in the same group. Charge \$1.

The cost of the operation.

$$\leq \log^* n + 1 + [\text{Money charged}]$$

*). No node is made bankrupt.

We z is charged, $\text{rank}(\text{parent}(z))++$.

It pays at most 2^K .

Once $\text{rank}(\text{parent})$ is in higher interval, it remains so.